

COURT OF GENERAL SESSIONS

STATE OF NEW YORK

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THE PEOPLE OF THE STATE OF NEW YORK,

- against -

CONSTANTINO VINCENT RICCARDI, alias  
C. VINCENT RICCARDI,

Defendant.  
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THE GRAND JURY OF THE COUNTY OF NEW YORK by this indictment accuses the defendant, Constantino Vincent Riccardi, of the crime of Grand Larceny in the first degree, committed as follows:

The defendant, Constantino Vincent Riccardi, in and from the County of New York and divers other places, commencing with in or about the beginning of July, 1945, to on or about August 2, 1945, with intent to deprive and defraud the owner of her property and of the use and benefit thereof, and to appropriate the same to the use of said defendant and some other person, obtained from Princess Doris Farid Es-Sultaneh certain property owned by her, to wit, one marquise cut diamond ring weighing approximately 28 carats, having a value of more than \$500, by color and aid of the following fraudulent and false representations and pretenses made to her by said defendant, namely:

That defendant was a practicing lawyer in the State of California, who specialized in criminal law and that he owned

certain mining properties in the State of Arizona, to wit, Leadville Western Mines, Inc., which mine was then and there producing copper, and that he earned at least \$5000 a week from his mine and his law practice; that all arrangements had been completed to list the stock of the Leadville Western Mines, Inc. on the New York Curb Exchange to open at a price of \$1 a share, and that the defendant, Riccardi, was a graduate of the University of Yale Law School. The said Princess Doris Farid Es-Sultaneh, relying upon said representations and the truth thereof, delivered to the defendant the aforementioned ring and received in consideration therefor 200,000 shares of the capital stock of Leadville Western Mines, Inc.

That said representations and pretenses were fraudulent and false, and were known by the defendant to be fraudulent and false at the time they were made, in that the defendant was not a practicing attorney in the State of California but was in fact disbarred and prohibited from practicing law in said State, nor was the mine, allegedly owned by Leadville Western Mines, Inc., in operation or producing any minerals, nor did the defendant receive any income from his practice of law or from the production of copper, nor were any arrangements made to list such stock on the New York Curb Exchange, nor was the defendant a graduate of Yale University Law School.

#### SECOND COUNT

AND THE GRAND JURY OF THE COUNTY OF NEW YORK by this indictment further accuses the defendant, Constantino Vincent Riccardi, of the crime of Grand Larceny in the first degree, committed as follows:

The defendant, Constantino Vincent Riccardi, in and from the County of New York and divers other places, on or about August 2, 1945, stole and took from Princess Doris Farid Es-Sultaneh certain property owned by her, to wit, one diamond marquise cut ring weighing approximately 28 carats having a value of more than \$500, with intent to deprive and defraud the owner of said property and of the use and benefit thereof, and to appropriate the same to the use of said defendant.

### THIRD COUNT

AND THE GRAND JURY OF THE COUNTY OF NEW YORK by this indictment further accuses the defendant, Constantino Vincent Riccardi, of the crime of Grand Larceny in the first degree, committed as follows:

The defendant, in and from the County of New York and divers other places, commencing with the beginning of July, 1945, to in and about the middle of November, 1945, with intent to deprive and defraud the owner of her property and of the use and benefit thereof, and to appropriate the same to the use of said defendant and some other person, obtained from Princess Doris Farid Es-Sultaneh certain property owned by her, to wit, one diamond pendant and chain containing approximately 298 diamonds, 1 golden sapphire set in platinum, weight, 118 carats; one diamond and emerald necklace containing approximately 275 diamonds 60 rose diamonds, 1 cabochon emerald, 3 pear-shaped emerald drops 2 cabochon emeralds, 44 small assorted shaped emeralds set in platinum; one diamond ruby platinum bracelet containing approximately 298 diamonds, 4 rubies, 168 calibre rubies set in platinum; one

lady's diamond platinum rubellite ring containing 1 rubellite (tourmaline) of 40 carats' weight, containing approximately 104 diamonds set in platinum; all having an aggregate value in excess of more than \$500, by color and aid of the following fraudulent and false representations and pretenses made to her by said defendant, namely,

That defendant was a practicing lawyer in the State of California, who specialized in criminal law and that he owned certain mining properties in the State of Arizona, to wit, Leadville Western Mines, Inc., which mine was then and there producing copper, and that he earned at least \$5000 a week from his mine and his law practice; that all arrangements had been completed to list the stock of the Leadville Western Mines, Inc. on the New York Curb Exchange to open at a price of \$1 a share, and that the defendant, Riccardi, was a graduate of the Yale University Law School. The said Princess Doris Farid Es-Sultaneh, relying upon said representations and the truth thereof, delivered to the defendant the aforementioned ring and received in consideration therefor 200,000 shares of the capital stock of Leadville Western Mines, Inc.

That said representations and pretenses were fraudulent and false, and were known by the defendant to be fraudulent and false at the time they were made, in that the defendant was not a practicing attorney in the State of California but was in fact disbarred and prohibited from practicing law in said State, nor was the mine, allegedly owned by Leadville Western Mines, Inc., in operation or producing any minerals, nor did the defendant receive any income from his practice of law or from the

production of copper, nor were any arrangements made to list such stock on the New York Curb Exchange, nor was the defendant a graduate of Yale University Law School.

#### FOURTH COUNT

AND THE GRAND JURY OF THE COUNTY OF NEW YORK by this indictment further accuses the defendant, Constantino Vincent Riccardi, of the crime of Grand Larceny in the first degree, committed as follows:

The defendant, Constantino Vincent Riccardi, in and from the County of New York and divers other places, in or about November, 1945, stole and took from Princess Doris Farid Es-Sultaneh certain property owned by her, to wit, one diamond pendant and chain containing approximately 298 diamonds, 1 golden sapphire set in platinum, weight, 118 carats; one diamond and emerald necklace containing approximately 275 diamonds, 60 rose diamonds, 1 cabochon emerald, 3 pear-shaped emerald drops, 2 cabochon emeralds, 44 small assorted shaped emeralds set in platinum; one diamond ruby platinum bracelet containing approximately 298 diamonds, 4 rubies, 168 calibre rubies set in platinum; one lady's diamond platinum rubellite ring containing 1 rubellite (tourmaline) of 40 carats' weight, containing approximately 104 diamonds set in platinum; all having an aggregate value in excess of more than \$500, with intent to deprive and defraud the owner of said property and of the use and benefit thereof, and to appropriate the same to the use of said defendant.

FIFTH COUNT

AND THE GRAND JURY OF THE COUNTY OF NEW YORK by this indictment further accuses the defendant, Constantino Vincent Riccardi, of the crime of violating a judgment of injunction of the Supreme Court of the State of New York, in violation of Section 359-g of the General Business Law, a misdemeanor committed as follows:

That on the 2d day of April, 1937, the Hon. Alfred Frankenthaler, Justice of the Supreme Court of the State of New York, sitting at a Special Term, Part II, of the Supreme Court of the State of New York, held in and for the County of New York, duly signed a judgment of injunction in the case of People of the State of New York, Plaintiffs, against Constantino Vincent Riccardi, et al., Defendants, which judgment was duly entered in the office of the Clerk of the County of New York on the 23rd day of April, 1937, and a certified copy of said judgment was duly served upon the said Constantino Vincent Riccardi, the defendant herein, on the 23rd day of April, 1937. That said judgment permanently enjoined and restrained the said Constantino Vincent Riccardi from selling or offering for sale, or negotiating any stock or securities within and from the State of New York. That Constantino Vincent Riccardi, the defendant herein, did contumaciously and wilfully violate and disregard said judgment, in that commencing with in or about the beginning of July, 1945, and to in or about the middle of November, 1945, he offered for sale, sold, negotiated and distributed stock of the Leadville Western Mines, Inc., within and from the State of New York, County of New York, to one, Princess Farid Es-Sultanch, and the defendant did sell 400,000 shares of said stock to the

said Princess Farid Es-Sultaneh within and from the County of New York, and did receive valuable property therefor in payment, all in violation of said judgment of injunction.

NATHANIEL L. GOLDSTEIN  
Attorney General of the  
State of New York